

*Joint Electricity Regulatory Commission
For the State of Goa and Union Territories*



**REQUEST FOR EMPANELMENT OF
INSTITUTIONAL CONSULTANTS
FOR
ASSISTING THE COMMISSION IN DISCHARGING
ITS VARIOUS REGULATORY FUNCTIONS
UNDER THE ELECTRICITY ACT, 2003**

LAST DATE OF SUBMISSION 17.07.2017

SECTION 1

Introduction & Scope of Work

- 1 Name of the Organization: **JOINT ELECTRICITY REGULATORY COMMISSION** for the State of Goa and Union Territories

Method of selection: Quality Based System

- 2 Joint Electricity Regulatory Commission for the State of Goa and Union Territories of Andaman & Nicobar, Chandigarh, Daman & Diu, Dadra & Nagar Haveli, Lakshadweep and Puducherry (hereinafter referred to as the JERC or the Commission) is a body corporate set up by the Government of India, in exercise of powers conferred by sub section (5) of Section 83 Electricity Act 2003 (hereinafter referred to as 'the Act'). At present, seven entities namely Electricity Department of Andaman & Nicobar Islands, Chandigarh, Daman & Diu, DNH Power Distribution Corporation Limited, Lakshadweep Island, Puducherry and the State of Goa falls under the jurisdiction of the Commission; besides one generating company, Puducherry Power Corporation Ltd and one transmission Company, Dadra & Nagar Haveli (Transmission) also falls under the Regulatory control of the Commission.

Some of the major responsibilities assigned to the Commission are:

- (a) To determine tariff for supply including wheeling, wholesale, bulk or retail and for the use of transmission facilities in the State of Goa and Union Territories as mentioned above.
 - (b) To issue and regulate the licenses for transmission, bulk supply, distribution or supply of electricity in the State of Goa and Union Territories as mentioned above.
 - (c) To regulate power purchase and procurement process of the licensees and transmission utilities including the price at which the power shall be procured from generating companies/stations etc. in the State of Goa and Union Territories as mentioned above.
 - (d) To promote competition, efficiency and economy in the activities of the electricity industry in the State of Goa and Union Territories as mentioned above.
 - (e) To regulate the operation of power system in the State of Goa and Union Territories as mentioned above.
- 3 The Transmission and Distribution of power in the State of Goa and Union Territories as mentioned above, presently, is being managed by the Appropriate Government/(s) who are deemed licensees in terms of Section 14 of the Electricity Act 2003.

As per the Electricity Act 2003, the Commission is also required to determine the tariff of Generating Companies and also of deemed licensees.

- 4 **Keeping this in view, the Commission wishes to make a panel of Institutional Consultants to assist the Commission in analysis and review of various ARR & other related Tariff Petitions/ Review Petitions before the Commission, discussions, support during the hearing, preparation of the order and any other associated work including analysis of comments received during the public hearings of Tariff Petitions and other regulatory functions under the Electricity Act, 2003.**

5 **SCOPE OF ASSIGNMENT:**

(I). To assist the commission in discharging the regulatory functions mandated in the Electricity Act, 2003, including:

- (a) Analysis of the Tariff Petitions including review/true up exercises, attend the public hearing/proceedings, drafting of the orders, disposal of the review of the petitions and also providing similar assistance in suo-moto proceeding in tariff fixation, if any;
- (b) To accompany or to visit the territories as directed by the Commission.
- (c) Analysis of various other related Petition such as approval of PPAs, investment plans etc policies, documents or regulatory matters received, or issues and practices emanating, from other regulatory Commissions, Appellate Tribunal for Electricity (ATE), Central Electricity Authority (CEA), Central Government, State Governments or other agencies;
- (d) To assist in framing various regulations, codes and guidelines under the Electricity Act, 2003.
- (e) To assist the Commission in defending the tariff orders in the Appellate Tribunal for Electricity or any higher appellate body.
- (f) Any other associated work as may be assigned by the Commission.

(II). The above mentioned jobs would include the following:-

- a) To attend public hearings and assist the Commission in the conduct thereof and prepare replies to the objections/ suggestions received on the proposals of ARR and Tariff petitions/ general petitions/ Review petitions.
- b) Assist the Commission in preparation of the Order(s) in respect of various ARR& Tariff/ general/ Review Petitions, while considering impact of various appeals pending and/ or decided with/ by various courts/Hon'ble Appellate Tribunal of Electricity.
- c) To invite suggestions/ objections on the draft Regulations/ discussion papers.
- d) Any other work incidental to the functioning of the Commission as may be assigned, from time to time.

(III) Deliverables: The Empanelment agency shall deliver the following to the JERC for the above scope of work:-

- a) The methodology and work plan of the assignment alongwith tentative time period for the deliverables activity-wise.

- b) Back –up data/ information collected/ utilized in arriving at various orders including tariff order etc. so as to justify such orders, if needed, e.g., in case of appeal, review petitions, etc.
- c) Any other/ report/ data incidentally required.
- d) The database along with an operating Manual/ detailed operating instructions.
- e) The data, documents, including reports, drawings, and tables generated/ collected as final output or otherwise, along with a list thereof, in case an item of work remains incomplete as at end of the assignment.

(IV) Other Issues:

The above description of scope of consultancy is purely indicative and not exhaustive. Changes/ additions can be made by the JERC to enhance the value of outputs without changing its basic nature.

- 6 The address for submission of the proposal and requesting for clarifications is:

The Secretary,
Joint Electricity Regulatory Commission
(For the state of Goa and UTs)
“Vanijya Nikunj” 2nd floor Udyog
Vihar Phase V Gurgaon-122016
Haryana
Telefax: 0124-2342853
E-mail:secy-jerc@nic.in

- 7 The consultant must possess expertise in the following areas:

- a) Clear understanding of the Indian legal, regulatory and power scenario and development over the last decade including the applicable policies, legal and regulatory framework and reforms at Central and State levels.
- b) Proven experience of assistance in filing ARR & Tariff petition for Generation/Transmission/Distribution utility or similar assistance to Electricity Regulatory Commission in analyzing petition submitted by utilities etc. Expertise will include the high level inputs in the Regulatory, Policy, Finance, Legal and Governance fields.

- 8 The firm shall submit Technical bid in respect of the Scope of work enumerated at Para 5 above.

- 9 The Commission shall require firm assurance in the Technical bids itself that the professional staff committed for the assignment shall be actually working on the same. The professional staff committed for the assignment shall be the same as proposed in the below formats and shall not be changed during the course of the assignment unless both parties agree. The Consultants shall place one of their identified staff in the Head quarters of the Commission. Besides this, any member(s) of the identified team accompanies by a senior as and when required by the Commission shall make themselves available.

- 10 Bidders can either be a consultant firm or a consortium, which more than one consultant firm may constitute with another/others for submitting their proposals. Where the bid is submitted by a consortium, the written consent of each member of the consortium to participate in the bid on the basis of joint and several liabilities for the performance of the services shall be provided to the JERC along with the bid. The Lead partner of the consortium shall also be clearly indicated.
- 11 The firm shall have an obligation to disclose any situation of actual or potential conflict that impacts their capacity to serve the best interest of the Commission or that may reasonably be perceived as having this effect.

The bidders shall not be hired for any assignment that would be in conflict with their prior or current obligations to their other clients or that may place them in a position of not being able to carry out the assignments objectively and impartially.
12. Consulting organizations/firms desirous of empanelling with the JERC for consulting assignment may apply giving particulars as per Form I to V.
13. Consulting organizations/firms must have a valid service tax registration.
14. JERC reserves the right to accept or reject any request for empanelment, without assigning any reason.
15. Firms finally empanelled shall be informed suitably.
16. The last date of receipt of the proposal for empanelment is **17.07.2017**.

Sd/-
(Keerti Tewari)
Secretary

FORM – I
APPLICATION FOR EMPANELLEMENT

The Secretary
Joint Electricity Regulatory Commission
(For the State of Goa and Union Territories)
HSIIDC Office Complex, Vanijya Nikunj, 2nd Floor
Udyog Vihar, Phase-V
Gurugram – 122016

Dear Ma'am/ Sir,

We wish to apply for empanelment with JERC as a consulting organization/ firm as per details enclosed herewith:

1	Name of the organization/ firm			
2	Name of contact person with mobile no and e-mail ID			
3	Year of establishment			
4	Total number of professional personnel in the power/ electricity sector (Minimum five)			
5	Annual turnover (last 3 financial years)	Year 2016-17 (Rs. in lakh)	Year 2015-16 (Rs. in lakh)	Year 2014-15 (Rs. in lakh)
6	Latest audited annual accounts	To be enclosed		
7	Service tax registration (copy of certificate or proof of application submitted)	To be enclosed		
8	Details of statutory registration with a copy of the same, as applicable for the services offered	To be enclosed		

9	Details of services offered	To be enclosed separately (not more than two pages)
10	Key professional personnel	Number (Brief of qualifications/ experience of each of the key personnel to be enclosed as per Form-II)
11	Summary of total professional experience of the organization/ firm in the power/ electricity sector	To be enclosed as per Form-III
12	Brief of major consultancy assignments undertaken in the power/ electricity sector, in last three financial years	To be enclosed as per Form-IV

Specific confirmations:

1. We confirm that the information provided in this application is true, correct and complete, and that nothing has been concealed thereof.
2. We confirm that as on the date of this application, no legal case or proceedings have been initiated or is/ are underway against us or any of our associate or subsidiary firm(s).
3. We undertake that in case any information provided by us in this application is found incorrect at any time hereafter, our application/ empanelment would stand rejected/ terminated, as the case may be.

(Signature of authorized person on behalf of the organization/ firm)

Name:

Designation:

Telephone Number:

E-mail ID:

FORM - II
BRIEF CURRICULUM VITAE OF KEY PERSONNEL OF THE ORGANIZATION/
FIRM (NOT MORE THAN FIVE)

Name :

Date of birth :

Educational qualifications :

Total experience in number of years :

Total experience in number of years
with the organization/ firm applying :
for empanelment

Relevant Experience (last 10 years)
(list of positions held, giving dates/
duration, names of organizations,
and brief responsibilities) :

Brief details of consulting assignments worked on in the power/ electricity sector, along with title of assignments, clients' names, locations and scope of work to be provided (in a few lines for each assignment)

(Signature of authorized person on behalf of the organization/ firm)

Name:

Designation:

Telephone Number:

E-mail ID:

FORM-III
SUMMARY OF TOTAL PROFESSIONAL EXPERIENCE OF THE ORGANIZATION/
FIRM

Experience in years :

Collaborations/ JVs (if any)* :

Areas of professional experience :

Experience in India

- No. of consulting assignments undertaken in power/ electricity sector:
- List with assignment details, clients' names and locations against each assignment, and durations in months:

Experience abroad

- No. of consulting assignments undertaken in power/ electricity sector:
- List with assignment details, clients' names and locations against each assignment, and durations in months:

Summary

- Total No. of consulting assignments undertaken in India:
- Total No. of consulting assignments undertaken abroad:

*Please enclose details of the Collaboration Agreement

(Signature of authorized person on behalf of the organization/ firm)

Name:

Designation:

Telephone Number:

E-mail ID:

FORM - IV

DETAILS OF MAJOR CONSULTING ASSIGNMENTS UNDERTAKEN

Not less than three (3) or more than a total of six (6) consulting assignments undertaken in last three (3) financial years (Please fill separately for each of the assignments)

- Name of assignment :
- Name of client :
- Location of assignment :
- No. and names of personnel deployed :
- Originally agreed time to complete the assignment in months :
- Actual time taken to complete the assignment in months :
- Scope of work of assignment in detail :
(Please attach additional sheets, if required)
- Deliverables of the assignment :

(Signature of authorized person on behalf of the organization/ firm)

Name:
Designation:
Telephone Number:
E-mail ID:

FORM – V

DETAILS OF COST PER MAN DAY/ PER MAN MONTH

Name/(s) of the personnel who will be deployed for the assignment :

Level of the personnel who will be deployed for the assignment :

Per man day rate of the personnel involved in the assignment (level wise) :

Per man month rate (level wise) :
(Please attach separate sheet, if required)

(Signature of authorized person on behalf of the organization/ firm)

Name:

Designation:

Telephone Number:

E-mail ID: